



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

David Wilkinson, et al.

Title:

CHEMICAL MECHANICAL POLISHING RETAINING RING WITH

INTEGRAL POLYMER BACKING

Application No.: 10/804,569

Filed:

March 19, 2004

Examiner:

UNASSIGNED

Group Art Unit: 3723

Atty. Docket No.: 1035-O4342

COMMISSIONER FOR PATENTS PO Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

Form(s) PTO/SB/08A and/or PTO/SB/08B or PTO/1449

Other: n/a

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

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- a representation that a search has been made, other than as described below; or 2.
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance, such as through (i) an English language abstract, (ii) an English language equivalent application, (iii) reference to discussion in the application, or (iv) if cited in a search report or other action by a foreign patent office in a

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counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

STATEMENT UNDER 37 C.F.R. § 1.704(d)

May 2	If the a 9, 2000	above-identified application is an original application filed on or after:
		each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.
		. <u>FEES DUE</u>
	This Ir	nformation Disclosure Statement is being filed:
		within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
		before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
		during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.
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Should any PTO fees be necessary for entry of this Information Disclosure Statement, the undersigned hereby authorizes the Commissioner to charge Deposit Account <u>50-2469</u>.

Date

Respectfully submitted,

Jeffrey Abel, Reg. No. 36,079

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Sheet 1

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number 10/804,569

Filing Date March 19, 2004

First Named Inventor David Wilkinson

Art Unit UNASSIGNED

Examiner Name 3723

Attorney Docket Number 1035-O4342

		•	U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A	Number-Kind Code ^{2 (# known)}	02/42/2004	Gonzalez et al.	1 iguico Appeal
		US- 6,186,880 B1	02/13/2001		
	В	^{US-} 6,234,875 B1	05/22/2001	Pendergrass, Jr.	
	С	^{US-} 6,413,153 B1	07/02/2002	Molar	
	D	^{US-} 6,468,136 B1	10/22/2002	Lum et al.	
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	1	^{US-} 2002/0058426 A1	05/16/2002	Mandigo et al.	
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	L	^{US-} 2003/0148614 A1	08/07/2003	Simpson et al.	
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	R	US-			
	S	US-			

		FOREI	GN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ ⁶
	T	EP 1 243 617 A1	09/25/2002	Daikin Industries, Ltd.		
	U	EP 1 386 695 A2	02/04/2004	Applied Materials, Inc.		
	V	EP 1 418 614 A1	05/12/2004	Clariant Inter'l, Ltd.		
	W	WO 02/066207 A1	08/29/2002	Ebara Corporation		
	X					
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STATEMENT BY APPLICANT	First Named Inventor	David Wilkinson	
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(030 as many sneets as necessary)	Examiner Name	3723	
Sheet 2 of 2	Attorney Docket Number	1035-O4342	

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	А	Rima Moussa, Greene, Tweed & Co., "Next-Generation Materials for CMP Retaining Rings", Web page: http://www.cetr.com/CMP/next-generation_materials_cmp_retaining_rings.htm		
	В			
	С			
	D			
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